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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hermona Soreq, et al.

U.S. Serial No. : 10/529,511

Filed : as §371 national stage of

PCT/IL2003/000764, filed September

24, 2003

FOR : PARKINSON'S DISEASE SUSCEPTIBILITY

HAPLOTYPE AS A TOOL FOR GENETIC

SCREENING

1185 Avenue of the Americas New York, New York 10036

March 17, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In order to ensure compliance with applicants' duty of disclosure under 37 C.F.R. \$1.56 and \$1.97(a)-(d), applicants request that the following documents be considered and made of record in the above-identified application which is listed on Form PTO-1449, attached hereto as **Exhibit A**:

- Abbott C. A., Mackness, M. I., Kumar, S., Olukoga, O., Gordon, C., Arrol, S., Bhatagar, D., Boulton, A. J. M., and Durrington, P. N. (1993) Relationship between serum butyrylcholinesterase activity, hypertriglyceridaemia and insulin sensitivity in diabetes mellitus. Clin. Sci. (Lond) 85: 77-81 (Exhibit 1);
- 2. Adkins, S., Gan, K.N., Mody, M., and La Du, B. N. (1993) Molecular basis for the polymorphic forms of human serum paraoxonase/arylesterase: glutamine or arginine at position 191, for the respective A or B allozymes. Am. J Hum. Genet. 52: 598-608 (Exhibit 2);

- 3. Akhmedova, S., Anisimov, S., Yakimovsky, A., and Schwartz, E. (1999) Gln → Arg 191 polymorphism of paraoxonase and Parkinson's disease. Hum. Hered. 49: 178-180 (Exhibit 3);
- 4. Aminoff, M. J. (2001) Parkinson's disease and other extrapyramidal disorders. In: Braunwald, E., et al. (eds) Harrison's principles of internal medicine.

 McGraw Hill, pp. 2399-2406 (Exhibit 4);
- 5. Bartels, C. F., Jensen, F. S., Lockridge, O., van der Spek, A. F., Rubinstein, H. M., Lubrano, T., and La Du, B. N. (1992) DNA mutation associated with the human butyrylcholinesterase K-variant and its linkage to the atypical variant mutation and other polymorphic sites. Am. J. Hum. Genet. 50: 1086-1103 (Exhibit 5);
- 6. Betarbet, R., Sherer, T. B., MacKenzie, G., Garcia-Osuna, M., Panov, A. V., and Greenamyre, J. T. (2000) Chronic systemic pesticide exposure reproduces features of Parkinson's disease. *Nature Neurosci*. 3: 1301-1306 (Exhibit 6);
- 7. Brindle, N., Song, Y., Rogaeva, E., Premkumar, S., Levesque, G., Yu, G., Ikeda, M., Nishimura, M., Paterson, A., Sorbi, S., Duara, R., Farrer, L., and St George-Hyslop, P. (1998) Analysis of the butyrylcholinesterase gene and nearby chromosome 3 markers in Alzheimer disease. Hum. Mol. Genet. 7: 933-935 (Exhibit 7);
- 8. Brophy, V. H., Jampsa, R. L., Clendenning, J. B., McKinstry, L. A., Jarvik, G. P., and Furlong, C. E. (2001) Effects of 5' regulatory-region polymorphisms on paraoxonase-gene (PON1) expression. Am. J. Hum. Genet. 68: 1428-1436 (Exhibit 8);

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- 9. Brophy, V. H., Hastings, M. D., Clendenning, J. B., Richter, R. J., Jarvik, G. P., and Furlong, C. E. (2001) Polymorphisms in the human paraoxonase (PON1) promoter. *Pharmacogenetics* 11: 77-84 (Exhibit 9);
- 10. Burkhardt, C., Kelly, J. P., Lim, Y. H., Filley, C. M., and Parker, W. D. Jr. (1993) Neuroleptic medications inhibit complex I of the electron transport chain. Ann. Neurol. 33: 512-517 (Exhibit 10);
- 11. Cassarino, D. S., Fall, C. P., Swerdlow, R. H., Smith, T. S., Halvorsen, E. M., Miller, S. W., Parks, J. P., Parker, W. D. Jr., and Bennett, J. P. Jr. (1997) Elevated reactive oxygen species and antioxidant enzyme activities in animal and cellular models of Parkinson's disease. Biochim. Biophys. Acta, 1362: 77-86 (Exhibit 11);
- 12. Costa, L. G., Cole, T. B., Jarvik, G. P., and Furlong, C. E. (2003) Functional genomic of the paraoxonase (PON1) polymorphisms: effects on pesticide sensitivity, cardiovascular disease, and drug metabolism. *Annu. Rev. Med.* 54: 371-392 (Exhibit 12);
- 13. Costa, L. G., Richter, R. J., Murphy, S. D., Omenn, G. S., Motulsky, A. G., and Furlong, C. E. (1987) Species differences in serum paraoxonase correlate with sensitivity to paraoxon toxicity. In: Costa, L. G. (eds.) Toxicology of pesticides: experimental, clinical and regulatory perspectives. Springer-Verlag, Heidelberg, pp. 263-266 (Exhibit 13);
- 14. Costa, L. G., Li, W. F., Richter, R. J., Shih, D. M., Lusis, A., and Furlong, C. E. (1999) The role of paraoxonase (PON1) in the detoxication of

organophosphates and its human polymorphism. Chem Biol. Interact. 119-120: 429-438 (Exhibit 14);

- 15. Dempster, A. P., Laird, N. M., and Rubin, D. B. (1977)

 Maximum Likelihood from Incomplete Data via the EM

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- 16. Furlong, C. E., Li, W. F., Costa, L. G., Richter, R. J., Shih, D. M., and Lusis, A. J. (1998) Genetically determined susceptibility to organophosphorus insecticides and nerve agents: developing a mouse model for the human PON1 polymorphism. Neurotoxicology 19: 645-650 (Exhibit 16);
- 17. Goldsmith, J. R., Herishanu, Y., Abarbanel, J. M., and Weinbaum, Z. (1990) Clustering of Parkinson's Disease Points to Environmental Etiology. *Arch. Environ. Health* 45: 88-94 (Exhibit 17);
- 18. Haley, R. W., Billecke, S., and La Du, B. N. (1999)
 Association of low PON1 type Q (type A) arylesterase
 activity with neurologic symptom complexes in Gulf War
 veterans. *Toxicol. Appl. Pharmacol.* 157: 227-233
 (Exhibit 18);
- 19. Herishanu, Y. O., Goldsmith, J. R., Abarbanel, J. M., and Weinbaum, Z. (1989) Clustering of Parkinson's Disease in Southern Israel. *Can. J. Neurol. Sci.* 16: 402-405 (Exhibit 19);
- 20. Hodgson, E. and Lewy, P. E. (1996) Pesticides: An Important but Underused Model for the Environmental Health Sciences. Environ. Health Perspect. 104: 97-106 (Exhibit 20);

- 21. Jenner, P. and Olanow, C. W. (1996) Oxidative stress and the pathogenesis of Parkinson's disease. *Neurology* 47(Suppl 3): S161-S170 (Exhibit 21);
- 22. Kitada, T., Asakawa, S., Hattori, N., Matsumine, H., Yamamura, Y., Minoshima, S. Yokochi, M., Mizuno, Y., and Shimizu, N. (1998) Mutation in the *parkin* gene cause autosomal recessive juvenile parkinsonism. *Nature* 392: 605-608 (Exhibit 22);
- 23. Kruger, R., Kuhn, W., Muller, T., Woitalla, D., Graeber, M., Kosel, S., Przuntek, H., Epplen, J. T., Schols, L., and Riess, O. (1998) Ala30Pro mutation in the gene encoding α-synuclein in Parkinson's disease.
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- 24. Lang, A. E. and Lozano, A. M. (1998) Parkinson's
 Disease. First of Two Parts. N. Engl. J. Med. 339:
 1044-1053 (Exhibit 24);
- 25. Le Couteur, D. G., Muller, M., Yang, M. C., Mellick, G. D., and McLean, A. J. (2002) Age-environment and gene-environment interactions in the pathogenesis of Parkinson's disease. Rev. Environ. Health 17: 51-64 (Exhibit 25);
- 26. Lehmann, D. J., Nagy, Z., Litchfield, S., Borja, M. C., and Smith, A. D. (2000) Association of butyrylcholinesterase K variant with cholinesterase-positive neuritic plaques in the temporal cortex in late-onset Alzheimer's disease. Hum. Genet. 106: 447-452 (Exhibit 26);
- 27. Lehmann, D. J., Johnston, C., and Smith A. D. (1997) Synergy between the genes for butyrylcholinesterase K

variant and apolipoprotein E4 in late-onset confirmed Alzheimer's disease. *Hum. Mol. Genet.* 6: 1933-1936 (Exhibit 27);

- 28. Lockridge, O. and Masson, P. (2000) Pesticides and Susceptible Populations: People With Butyrylcholinesterase Genetic Variants May Be At Risk. Genetic Neurotoxicology 21: 113-126 (Exhibit 28);
- 29. Loewenstein-Lichtenstein, Y., Schwarz, M., Glick, D., Norgaard-Pedersen, B., Zakut, H., and Soreq, H. (1995) Genetic predisposition to adverse consequences of anticholinesterases in 'atypical' BCHE carriers. Nat. Med. 1: 1225-1226 (Exhibit 29);
- 30. Lucking, C. B., Durr, A., Bonifati, V., Vaughan, J., De Michele, G., Gasser, T., Harhangi, B. S., Meco, G., Denefle, P., Wood, N. W., Agid, Y., and Brice, A. (2000) Association between early-onset Parkinson's disease and mutations in the parkin gene. French Parkinson's Disease Genetics Study Group. N. Engl. J. Med. 342: 1560-1567 (Exhibit 30);
- 31. Mackness, B., Durrington, P. N., and Mackness, M. I. (1998) Human Serum Paraoxonase. Gen. Pharmacol. 31: 329-336 (Exhibit 31);
- 32. Masson, P., Josse, D., Lockridge, O., Viguie, N., Taupin, C., and Buhler, C. (1998) Enzymes hydrolyzing organophosphates as potential catalytic scavengers against organophosphate poisoning. J. Physiol. (Paris) 92: 357-362 (Exhibit 32);
- 33. Menegon, A., Board, P. G., Blackburn, A. C., Mellick, G. D., and Le Couteur, D. G. (1998) Parkinson's disease, pesticides, and glutathione transferase

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- 34. Nassar, B. A., Dunn, J., Title, L. M., O'Neill, B. J., Kirkland, S. A., Zayed, E., Bata, I. R., Cantrill, R. C., Johnstone, J., Dempsey, G. I., Tan, M. H., Breckenridge, W. C., and Johnstone, D. E. (1999) Relation of genetic polymorphisms of apolipoprotein E, angiotensin converting enzyme, apolipoprotein B-100, and glycoprotein IIIa and early-onset coronary heart disease. Clin. Biochem. 32: 275-282 (Exhibit 34);
- 35. Nassar, B. A., Darvesh, S., Bevin, L. D., Rockwood, K., Kirkland, S. A., O'Neill, B. J., Bata, I. R., Johnstone, D. E., and Title, L. M. (2002) Relation between butyrylcholinesterase K variant, paraoxonase 1 (PON1) Q and R and apolipoprotein E ∈4 genes in earlyonset coronary artery disease. Clin. Biochem. 5: 205-209 (Exhibit 35);
- 36. Parker, W. D. and Swerdlow, R. H. (1998) Mitochondrial dysfunction in idiopathic Parkinson disease. Am. J. Hum. Genet. 62: 758-762 (Exhibit 36);
- 37. Polymeropoulos, M. H., Lavedan, C., Leroy, E., Ide, S. E., Dehejia, A., Dutra, A., Pike, B., Root, H., Rubenstein, J., Boyer, R., Stenroos, E. S., Chandrasekharappa, S., Athanassiadou, A., Papapetropoulos, T., Johnson, W. G., Lazzarini, A. M., Duvoisin, R. C., Di Iorio, G., Golbe, L. I., and Nussbaum, R. L. (1997) Mutation in the α-synuckein gene identified in families with Parkinson's disease. Science 276: 2045-2047 (Exhibit 37);
- 38. Premkumar, D. R., Cohen, D. L., Hedera, P., Friedland, R. P., and Kalaria, R. N. (1996) Apolipoprotein $E-\epsilon 4$

- alleles in cerebral amyloid angiopathy and cerebrovascular pathology associated with Alzheimer's disease. Am. J. Pathol. 148: 2083-2095 (Exhibit 38);
- 40. Suehiro, T., Nakamura, T., Inoue, M., Shiinoki, T., Ikeda, Y., Kumon, Y., Shindo, M., Tanaka, H., and Hashimoto, K. (2000) A polymorphism upstream from the human paraoxonase (PON1) gene and its association with PON1 expression. Atherosclerosis 150: 295-298 (Exhibit 40);
- 41. Sveinbjörnsdóttir, S., Hicks, A. A., Jónsson, T., Pétursson, H., Guðmundsson, G., Grigge, M. L., Kong, A., Gulcher, J. R., and Stefánsson, K. (2000) Familial Aggegation of Parkinson's Disease in Iceland. N. Engl. J. Med. 343: 1765-1770 (Exhibit 41);
- 42. Tanner, C. M., Ottman, R., Ellenberg, J. H., Goldman, S. M., Mayeux, R., Chan, P., and Langston, J. W. (1997)
 Parkinson's Disease (PD) Concordance in Elderly Male
 Monozygotic (MZ) and Dizygotic (DZ) Twins. Neurology
 48(Suppl): A333 (Exhibit 42);
- 43. Taylor, M. C., Le Couteur, D. G., Mellick, G. D., and Board, P. G. (2000) Paraoxonase polymorphisms, pesticide exposure and Parkinson's disease in a Caucasian population. J. Neural Transm. 107: 979-983 (Exhibit 43);
- 44. Vays, I., Heikkila, R. E., and Nicklas, W. J. (1986)

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- 45. Vingerhoets, F. J. G., Snow, B. J., Tetrud, J. W., Langston, J. W., Schulzer, M., and Calne, D. B. (1994)

 Positron emission tomographic evidence for progression of human MPTP-induced dopaminergic lesions. Ann. Neurol. 36: 765-770 (Exhibit 45);
- 46. Wang, J. and Liu, Z. (2000) No association between paraoxonase 1 (PON1) gene polymorphisms and susceptibility to Parkinson's disease in a Chinese population. *Mov. Disord*. 15: 1265-1267 (Exhibit 46);
- 47. Wooten, G. F., Currie, L. J., Bennett, J. P., Harrison, M. B., Trugman, J. M., and Parker, W. D. Jr. (1997) Maternal inheritance in Parkinson's disease. Ann. Neurol. 41: 265-268 (Exhibit 47);
- 48. Poewe, W. H. and Wenning, G. K. (1996) The natural history of Parkinson's disease. Neurology 47(Suppl 3): S146-S152 (Exhibit 48);
- 49. International Preliminary Examination Report issued by the International Preliminary Examination Authority (IPEA/EP) on December 27, 2004 in connection with International Application No. PCT/IL2003/000764 (Exhibit 49);
- 50. International Search Report issued by the International Searching Authority (ISA/EP) on April 6, 2004 in connection with International Application No. PCT/IL2003/000764 (Exhibit 50);

U.S. Serial No.: 10/529,511

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- 51. Akhmedova, S. N., Yakimovsky, A. K., and Schwartz, E. I. (2001) Paraoxonase 1 Met-Leu 54 Polymorphism Is Associated With Parkinson's Disease. *J. Neurol. Sci.* 184: 179-182 (Exhibit 51);
- 52. Shapira, M., Tur-Kaspa, I., Bosgraaf, L., Livni, N., Grant, A. D., Grisaru, D., Korner, M., Ebstein, R. P., and Soreq, H. (2000) A transcription-activating polymorphism in the ACHE promoter associated with acute sensitivity to anti-acetylcholinesterases. Hum. Mol. Genet. 9: 1273-1281 (Exhibit 52);
- 53. Kondo, I. and Yamamoto, M. (1998) Genetic Polymorphism Of Paraoxonase 1 (PON1) And Susceptibility To Parkinson's Disease. *Brain Res.*, 806: 271-273 (Exhibit 53);
- 54. Bartels, C. F., Zelinski, T., and Lockridge, O. (1993)

 Mutation at Codon 322 in the Human Acetylcholinesterase

 (ACHE) Gene Accounts for YT Blood Group Polymorphism.

 Amer. J. Hum. Genet. 52: 928-936 (Exhibit 54);
- 55. Carmine, A., Buervenich, S., Sydow, O., Anvret, M., and Olson, L. (2002) Further Evidence for an Association of the Paraoxonase 1 (PON1) Met-54 Allele with Parkinson's Disease. *Movement Disord*. 17: 764-766 (Exhibit 55); and
- 56. Kaufer, D. and Soreq, H. (1999) Tracking Cholinergic Pathways from Psychological and Chemical Stressors to Variable Neurodeterioration Paradigms. *Curr. Opin. Neurol.* 12: 739-743 (Exhibit 56).

Copies of documents numbers 1-56 are attached hereto as **Exhibits** 1-56, respectively.

U.S. Serial No.: 10/529,511

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Respectfully submitted,

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John P. White

Date

Registration No. 28,678

John R. White Registration No. 28,678 Attorney for Applicants Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/529,511 INFORMATION DISCLOSURE Filing Date §371 of PCT/IL2003/000764 STATEMENT BY APPLICANT First Named Inventor Hermona Soreq Art Unit (Use as many sheets as necessary) **Examiner Name**

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| Examiner | Cite | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of | • |
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| | 1 | Abbott C. A., Mackness, M. I., Kumar, S., Olukoga, O., Gordon, C., Arrol, S., Bhatagar, D., Boulton, A. J. M., and Durrington, P. N. (1993) Relationship between serum butyrylcholinesterase activity, hypertriglyceridaemia and insulin sensitivity in diabetes mellitus. Clin. Sci. (Lond) 85: 77-81 | |
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| :. | 7 | Brindle, N., Song, Y., Rogaeva, E., Premkumar, S., Levesque, G., Yu, G., Ikeda, M., Nishimura, M., Paterson, A., Sorbi, S., Duara, R., Farrer, L., and St George-Hyslop, P. (1998) Analysis of the butyrylcholinesterase gene and nearby chromosome 3 markers in Alzheimer disease. <i>Hum. Mol. Genet.</i> 7: 933-935 | |
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| | | | PPLICANT | First Named Inventor | Hermona Soreq |
| | | | | Art Unit | |
| (L | ise as many she | ets as n | ecessary) | Examiner Name | |
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| | 11 | Cassarino, D. S., Fall, C. P., Swerdlow, R. H., Smith, T. S., Halvorsen, E. M., Miller, S. W., Parks, J. P., Parker, W. D. Jr., and Bennett, J. P. Jr. (1997) Elevated reactive oxygen species and antioxidant enzyme activities in animal and cellular models of Parkinson's disease. <i>Biochim. Biophys. Acta</i> , 1362: 77-86 | |
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| | 14 | Costa, L. G., Li, W. F., Richter, R. J., Shih, D. M., Lusis, A., and Furlong, C. E. (1999) The role of paraoxonase (PON1) in the detoxication of organophosphates and its human polymorphism. <i>Chem. Biol. Interact.</i> 119-120: 429-438 | |
| | 15 | Dempster, A. P., Laird, N. M., and Rubin, D. B. (1977) Maximum Likelihood from Incomplete Data via the EM Algorithm. J. Royal Statist. Soc. Ser. B. 39 | |
| | 16 | Furlong, C. E., Li, W. F., Costa, L. G., Richter, R. J., Shih, D. M., and Lusis, A. J. (1998) Genetically determined susceptibility to organophosphorus insecticides and nerve agents: developing a mouse model for the human PON1 polymorphism. <i>Neurotoxicology</i> 19: 645-650 | |
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| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city/and/or country where published. | T² |
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| | | NON PATENT LITERATURE DOCUMENTS | | |
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| STATEMENT BY APPLICANT | | | | First Named Inventor | Hermona Soreq | | |
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